# Piperine-Hydroxy acid-Cyclodextrin Inclusion Complexes; Physicochemical, Computational, and Proton Nuclear Magnetic Resonance Spectroscopy Studies: PART I 

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#### Abstract

Introduction: In an attempt to improve the physicochemical properties of piperine (PIP), its inclusion complexes were prepared with $\beta$-cyclodextrin ( $\beta \mathrm{CD}$ ) and hydroxypropyl- $\beta$-cyclodextrin ( $\mathrm{HP} \beta \mathrm{CD}$ ) in presence and/or absence of hydroxy acids by lyophilization technique. Materials and Methods: Primarily, the stoichiometry of the complex formation and thermodynamic parameters was accessed by phase solubility study described by Higuchi and Conners method. The molecular modeling studies were performed to elucidate the stability, possible interactions, and geometry of PIP inside the $\beta$ CD cavity. The prepared lyophilized inclusion complexes were characterized by proton nuclear magnetic resonance spectroscopy ( ${ }^{1} \mathrm{H}$ NMR) and Two-dimensional Nuclear Overhauser Effect spectroscopy ( $2 \mathrm{D}^{1} \mathrm{H}$ NMR), Fourier transformation-infrared spectroscopic (FTIR), scanning electron microscopic (SEM), Log $P$, and dissolution studies. Results: The phase solubility data revealed the formation of 1:1 stoichiometry with $\mathrm{A}_{\mathrm{L}}$ type of solubility curve at $25^{\circ} \mathrm{C}$. Thermodynamic studies indicated that the inclusion process was spontaneous. The molecular modeling studies were depicted the insertion of piperidine ring inside $\beta$ CD cavity. As complementary evidence, ${ }^{1} \mathrm{H}$ NMR and $2 \mathrm{D}{ }^{1} \mathrm{H}$ NMR studies predicted that whether in presence or absence of hydroxy acids, CD is able to accommodate the piperidine ring. FTIR analysis revealed scattering peaks assigned for PIP were smoothened in all lyophilized inclusion complexes. SEM images indicated modifications in morphology of PIP particles in its lyophilized complexes. Dissolution studies revealed significant improvement in dissolution efficiencies of PIP in all prepared inclusion complexes. Conclusion: The effectiveness of ternary hydroxy acids was found to be appreciating toward improvement in aqueous solubility and dissolution properties of PIP.


Key words: Complexation, computational chemistry, cyclodextrin, hydroxy acids, piperine

## INTRODUCTION

Piperine (PIP) [(2E,4E)-1-[5-(1,3-Benzodioxol-5-yl)-1-oxo-2,4pentadienyl]piperidine, is a natural ingredient, possessing seasoning property which makes black pepper as a significant segment in serving of mixed greens dressing. ${ }^{[1]}$ PIP acts as a bioenhancer by repressing the cytochrome P450 enzyme. ${ }^{[2]}$ It likewise shows a few activities such as hypolipidemic, anticonvulsant, antimutagenic, protective effect against gastric ulcer-like activity, slight insecticidal, ${ }^{[3]}$ and antibacterial property. ${ }^{[4]}$ In spite of such properties, its application in food and pharmaceutical industries remains limited due
to its low aqueous solubility and bioavailability. ${ }^{[2,5,6]}$ Some researchers earlier reported that the inclusion complexation of PIP with $\beta$-cyclodextrins ( $\beta C D$ ) improved its aqueous solubility and bioavailability. Few experiments such as oil in water emulsion system, formulation of nanospheres, selfemulsifying drug delivery system, and inclusion complexation

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Received: 09-12-2020
Revised: 18-01-2021
Accepted: 26-01-2021
with $\beta$ CD of PIP have been accounted for betterment in water solubility and bioavailability of PIP ${ }^{[5,7-9]}$ However, no any ternary inclusion complexation approach for enhancement of solubility and bioavailability of PIP has been employed yet.

CD inclusion complexation has been accounted to enhance the desired physicochemical properties of low hydrophilic compounds significantly by accommodating its hydrophobic portion into the CD cavity. ${ }^{[10,11]}$ However, parent $\beta C D$ has limited aqueous solubility. $\mathrm{HP} \beta \mathrm{CD}$; the hydroxy derivative of $\beta C D$ has an amorphous nature, better water solubility, and least toxicity, making it more preferable than that of basic one. ${ }^{[12]}$ CDs are having low complexation efficiency, which restrains its utilization to a limited degree. ${ }^{[13]}$ Therefore, complexation efficiency of CDs can be improved by the incorporation of some low molecular weight hydroxy acids ${ }^{[14]}$ to the complexation media, bringing about the formation of ternary complexes; thereby deduction in the amount of CD is required and making the formulation of final product profitable. The basic drug may form salts with hydroxy acids such as citric acid (CA) and/or ascorbic acid (AA), contributing to the enhancement in hydrophilicity of drugs as a consolidated impact of salt formation and inclusion complexation. ${ }^{[15]}$

By considering all viewpoints, the attempts have been undertaken to prepare inclusion complexes of PIP with CDs in an influence of CA and AA as ternary components to improve the physicochemical properties of PIP, which is as far as anyone is concerned has not been published at this point. The phase solubility studies were pursued to determine possible stoichiometry of all complexes. The solid-state inclusion complexes were prepared by lyophilization technique and characterized by Fourier transformationinfrared spectroscopy (FTIR), scanning electron microscopy (SEM), proton nuclear magnetic resonance spectroscopy $\left({ }^{1} \mathrm{H}\right.$ NMR), and Two-dimensional Nuclear Overhauser Effect spectroscopy ( $2 \mathrm{D}{ }^{1} \mathrm{H}$ NMR), Log $P$, and in-vitro dissolution studies.

## MATERIALS AND METHODS

## Materials

PIP (Molecular weight: $285.34 \mathrm{~g} / \mathrm{mol}$, Purity 97\%) was purchased from Sigma Aldrich Chemicals Pvt. Ltd. (Mumbai, India). $\beta C D$ (Molecular weight: $1135 \mathrm{~g} / \mathrm{mol}$, Purity 98\%) was procured from HiMedia Laboratories Pvt. Ltd. (Mumbai, India). HP $\beta$ CD (Molecular weight: $1400 \mathrm{~g} / \mathrm{mol}$, degree of substitution-0.65, Purity $98 \%$ ) was purchased from HiMedia Laboratories Pvt. Ltd (Mumbai, India). AA (Purity 98 \%), and CA (Purity 98 \%) were purchased from Loba Chemie Pvt. Ltd (Mumbai, India). Analytical grade reagents and glass distilled water were used throughout the experiment. All chemicals were used without further purification.

## Phase solubility and thermodynamic studies

An excess amount of native PIP was incorporated to 10 ml of an aqueous solution containing varying concentrations of CDs $(0-0.005 \mathrm{M})$ with or without the addition of ternary components such as CA $(0.25 \% \mathrm{w} / \mathrm{v})$ and AA $(0.25 \% \mathrm{w} / \mathrm{v})$. The resulted suspensions were mechanically shaken on an incubator shaker (REMI-CIS 24 plus Incubator Shaker, Mumbai, India) for 72 h at 150 rpm . After equilibration, the samples were withdrawn and filtered through Whatman filter paper no. 41 and appropriately diluted if necessary. The concentrations of PIP were analyzed spectrophotometrically (Shimadzu UV-Vis spectrophotometer 1800, Japan) at 341 nm . The apparent stability constant and complexation efficiency of inclusion complexes were determined by the following equations. ${ }^{[16,17]}$

$$
\begin{equation*}
K_{s}=\frac{\text { Slope }}{S_{0}(1-\text { Slope })} \tag{1}
\end{equation*}
$$

$S_{0}$ is the solubility of PIP without CDs and slope is obtained by a phase solubility diagram.

$$
\begin{equation*}
C E=\text { Do.K1:1 }=\frac{\text { Slope }}{1-\text { Slope }} \tag{2}
\end{equation*}
$$

$D_{0}$ is the intrinsic solubility of the drug and $K_{1: I}$ is the complexation constant for the interaction of one drug molecule with CD molecule.

The apparent stability constants of all systems were statistically analyzed by ANOVA (Instate GraphPad software Inc. Version 3.05)

The thermodynamic events occurring during the inclusion complexation were further examined by conducting phase solubility analysis at 20,25 , and $37 \pm 2^{\circ} \mathrm{C}$. The integrated form of the Van't Hoff equation enables the determination of $\Delta \mathrm{H}$ and $\Delta \mathrm{S}$, based on the modification in stability constants with temperatures. ${ }^{[18]}$

$$
\begin{equation*}
\operatorname{In} \mathrm{K}=\frac{-\Delta \mathrm{H}}{\mathrm{RT}}+\frac{\Delta \mathrm{S}}{\mathrm{R}} \tag{3}
\end{equation*}
$$

R is the gas constant and T is the temperature in Kelvin.
$\Delta \mathrm{G}$ is a replication of the process of transfer of PIP from pure water to an aqueous solution of CDs, which can be estimated by the following equation,

$$
\begin{equation*}
\Delta \mathrm{Gtr}^{\circ}=-2.303 \mathrm{RT} \log \left(\frac{S c}{S o}\right) \tag{4}
\end{equation*}
$$

Where, $S_{\mathrm{c}} / S_{0}$ is the ratio of molar solubility of PIP in an aqueous solution of CDs with or without ternary substances $(0.25 \% \mathrm{w} / \mathrm{v})$ to that in distilled water in absence of CDs.

## Molecular modeling studies

In silico studies were carried out using VLifeMDS 4.3 software (VLife Sciences and Technologies, Pune, India)
on the Intel i3 CORE processor with an operating system of Windows 7. The VLife engine module was used to draw the molecular structures of PIP, $\beta$ CD, CA, and AA. All structures were optimized by MMFF program with rms gradient 0.01 $\mathrm{Kcal} / \mathrm{Mol}$ and dielectric constant 1. The most optimized PIP conformer was positioned into the $\beta$ CD cavity (either from wide/narrow rim) with or without ternary substances at different orientations and again optimized. The respective complexation energies ( $\Delta \mathrm{E}$ ) were determined by the following equation,
$\Delta \mathrm{E}=\mathrm{E}_{\mathrm{fS}: \mathrm{D}}-\mathrm{E}_{\mathrm{fS}}+\mathrm{E}_{\mathrm{fCD}}+\mathrm{E}_{\mathrm{fAx}}$
$\mathrm{E}_{\mathrm{fS}: \mathrm{D}}$ is the total energy of the inclusion complex after optimization, $\mathrm{E}_{\mathrm{fS}}$ is the total energy of the guest molecule after optimization, $\mathrm{E}_{\mathrm{fCD}}$ is the total energy of the host molecule after optimization, and $\mathrm{E}_{\mathrm{fAx}}$ is the total energy of ternary molecule after optimization.

## Preparation of solid systems by lyophilization

Equimolar quantities of pure PIP $(0.285 \mathrm{~g})$ and both the CDs ( $\beta$ CD -1.135 g and $\mathrm{HP} \beta \mathrm{CD}-1.4 \mathrm{~g}$ ), with or without the incorporation of ternary components $(0.25 \% \mathrm{w} / \mathrm{v})$ were transferred to a beaker containing a mixture of 50 ml of methanol and 50 ml of distilled water. The prepared mixtures were sonicated for 15 min and then allowed to stir for 72 h at $25 \pm 2^{\circ} \mathrm{C}$ with 150 rpm using a magnetic stirrer (REMICIS 24 plus Incubator Shaker, Mumbai, India). The resulted mixtures were filtered and placed in a deep freezer (ELCOLD, Denmark) at $-80^{\circ} \mathrm{C}$ for 24 h . After deep freezing, the obtained solutions were lyophilized (DELVAC- mini Lyodel, Chennai, India) at $-80^{\circ} \mathrm{C}$ for 4 days. The received solid state complexes were kept in desiccators to avoid it from moisture absorption.

## FTIR analysis

Infrared spectra of all samples were obtained using ATR (BRUKER - ECO - ATR - ALPHA, Germany). The samples were directly placed on a sample pan and analyzed from 600 $\mathrm{cm}^{-1}$ to $4000 \mathrm{~cm}^{-1}$ spectral range with 24 scans.

## ${ }^{1} \mathrm{H}$ NMR and 2D NMR

${ }^{1} \mathrm{H}$ NMR and 2D NMR analysis of PIP, $\beta$ CD, $\mathrm{HP} \beta \mathrm{CD}, \mathrm{AA}$, and inclusion complexes (PB, PH, PBA and PHA) were performed using a NMR spectrometer (JNM-ECZ600R/S1, JEOL, JAPAN) with a TH5 Probe operating at 600 MHz and in $\mathrm{D}_{2} \mathrm{O}\left(\beta \mathrm{CD}, \mathrm{HP} \beta \mathrm{CD}, \mathrm{AA}, \mathrm{PH}, \mathrm{PBA}\right.$, and PHA), $\mathrm{CDCl}_{3}$ (PIP) and DMSO-d $\mathrm{d}_{6}(\mathrm{~PB})$ solution.

## SEM

SEM was used to study the surface morphology of samples which was operated at an acceleration voltage of 20 kV .

Pure PIP and lyophilized complexes were directly mounted on an aluminum stub and coated with a thin gold-ion layer by sputter coated unit (SEM-JOEL Instruments, JSM-6360, Japan) and obtained micrographs were examined at $\times 500$, $\times 1000, \times 2000$, and $\times 5000$ magnifications.

## Partition coefficient ( $\log P$ ) analysis

The excess amount (equivalent to 15 mg ) of pure PIP and lyophilized complexes were added to the glass tubes containing 10 ml each of octanol and water, which were previously allowed to stand for 24 h at room temperature. These tubes were placed on an incubator shaker (REMICIS 24 Plus Incubator shaker, Mumbai, India) and shaken for 24 h at $25^{\circ} \mathrm{C}$. After equilibrium achieved, the resultant solutions were shifted to the separating funnel and allowed to stand for 6 h . The separated aqueous layer was analyzed spectrophotometrically (Shimadzu 1800, Japan) at $341 \mathrm{~nm} .{ }^{[19]}$ The $\log P$ value calculated by the following equation,

$$
\begin{equation*}
\log P=\log \left(\frac{C o}{C_{w}}\right) \tag{6}
\end{equation*}
$$

$\mathrm{C}_{\mathrm{o}}$ is the concentration of PIP in octanol, and $\mathrm{C}_{\mathrm{w}}$ is the concentration of PIP in water.

The data of the partition coefficient were statistically analyzed by ANOVA (Instate GraphPad software Inc. Version 3.05).

## In-vitro dissolution studies in $0.1 \mathbf{N ~ H C l}$ at pH 1.1

In-vitro dissolution studies of samples were performed on a dissolution test apparatus (ELECTROLAB-TST-06L/ LX, New Mumbai, India) by paddle method. ${ }^{[7,20]}$ Ten milligrams of PIP or its equivalent amount of the complexes were placed in a dissolution vessel containing 900 ml of 0.1 N HCl , maintained at $37 \pm 0.5^{\circ} \mathrm{C}$ at 50 rpm . Five milliliters of samples were withdrawn at $2,5,10,15,30,45$, and 60 min time intervals. The volume of dissolution media was adjusted to 900 ml by replacing each 5 ml aliquot withdrawn with 5 ml of fresh 0.1 N HCl . The filtered solutions were analyzed spectrophotometrically at 342 nm (Shimadzu UV-Vis Spectrophotometer 1800, Japan).

## RESULTS AND DISCUSSION

## Phase solubility and thermodynamic studies

The phase solubility curves of PIP in aqueous solutions of $\beta C D$ and $H P \beta C D$ in an influence ( $\mathrm{PBC}, \mathrm{PBA}, \mathrm{PHC}$, and PHA) and in absence ( $\mathrm{PB}, \mathrm{PH}$ ) of hydroxy acids are displayed in Figure 1. The water solubility of PIP increased linearly as the function of $C D$ concentration, representing the $A_{L}$ type of phase solubility diagram. ${ }^{[21]}$

The phase solubility and thermodynamic parameters associated with inclusion phenomenon are indicated in Table 1, which clearly defined that all slopes were $<1$ depicting the formation of water soluble complexes with $1: 1$ stoichiometry ${ }^{[22]}$ and the ternary inclusion complexes were more effective with respect to water solubility, stability constants ( $P<0.001$ ) and complexation efficiencies than the binary complexes. PIP is the weak base and it may form weak salt with weak acids. Therefore, the addition of hydroxy acids as ternary components might have contributed to the enhancement of hydrophilicity of drugs by electrostatic/ hydrogen bonding interactions with PIP. ${ }^{[14,15]}$

Van't Hoff plot displayed in Figure 2 which is the function of the $\log$ of $K_{\mathrm{S}}$ and inverse of absolute temperature. $\mathrm{A}_{\mathrm{L}}$
type of phase solubility profiles were observed for some complexes (PB-20, $25,37^{\circ} \mathrm{C}$ ), while $\mathrm{A}_{\mathrm{P}}\left(\mathrm{PH}-37^{\circ} \mathrm{C}\right.$, PHC$\left.25^{\circ} \mathrm{C}, \mathrm{PHA}-37^{\circ} \mathrm{C}\right)$ and $\mathrm{A}_{\mathrm{N}}\left(\mathrm{PH}-20^{\circ} \mathrm{C}, \mathrm{PBC}-20^{\circ} \mathrm{C}\right.$, PBA$20^{\circ} \mathrm{C}$ ) type of profiles were also found. For that case, the association constant was calculated with respect to $A_{L}$ type of phase solubility profile. The enthalpy $(\Delta \mathrm{H})$ values for some complexes were observed to be positive ( $\mathrm{PB}, \mathrm{PHC}$, and PHA), while for some complexes ( $\mathrm{PH}, \mathrm{PBC}$, and PBA) it found to be negative, suggesting the complexation phenomenon was exothermic for $\mathrm{PH}, \mathrm{PBC}$, and PBA complexes which might be attributed to a more favorable complexation process. ${ }^{[23]}$ Positive entropy ( $\Delta \mathrm{S}$ ) values indicated that a highly disordered state of complexes and the process was without extensive desolvation. From observed $\Delta H$ and $\Delta S$


Figure 1: Phase solubility diagram of piperine (PIP) with b-cyclodextrin ( $\beta C D$ )/HP $\beta C D$ at different temperatures. PB : PIP $+\beta C D$; PH: PIP + HP $\beta$ CD; PBC: PIP + $\beta C D$ + CA; PHC: PIP + HP $\beta C D+C A ;$ PBA: PIP + $\beta C D+A A ;$ PHA: PIP + HP $\beta C D+A A$

Table 1: Phase solubility data and thermodynamic parameters involved in binary and ternary inclusion complexes of pure drug with cyclodextrins

| Systems | $\mathrm{T}\left({ }^{\circ} \mathrm{C}\right)$ | $S_{0}$ (Moles $/ \mathrm{mL}$ ) | Slope | $\mathrm{r}^{2}$ | $K_{\text {s }}\left(\mathrm{M}^{-1}\right)^{\text {a }}$ | $K_{\text {TS }} / \mathrm{K}_{\text {BS }}$ | CE | $\Delta \mathrm{H}$ (KJ/Mol) | $\Delta \mathrm{S}$ (J/Mol/K) | $\Delta \mathrm{G}$ (KJ/Mol) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| PB | 20 | 0.000048 | 0.028 | 0.819 | $597 \pm 15$ | - | 0.0214 | 26 | 143 | -17 |
|  | 25 | 0.000022 | 0.021 | 0.934 | $1000 \pm 110$ |  |  |  |  |  |
|  | 37 | 0.000044 | 0.048 | 0.953 | $1138 \pm 153$ |  |  |  |  |  |
| PH | 20 | 0.000050 | 0.030 | 0.993 | $7720 \pm 113$ | - | 0.0298 | -98 | -266 | -19 |
|  | 25 | 0.000022 | 0.029 | 0.982 | $1380 \pm 89$ |  |  |  |  |  |
|  | 37 | 0.000060 | 0.100 | 0.999 | $690 \pm 22$ |  |  |  |  |  |
| PBC | 20 | 0.000040 | 0.038 | 0.988 | $7720 \pm 111$ | 1.17 | 0.0277 | -65 | -152 | -20 |
|  | 25 | 0.000024 | 0.027 | 0.935 | $1173 \pm 95^{\text {b }}$ |  |  |  |  |  |
|  | 37 | 0.000026 | 0.056 | 0.990 | $1498 \pm 133$ |  |  |  |  |  |
| PHC | 20 | 0.000016 | 0.018 | 0.983 | $1137 \pm 70$ | 1.97 | 0.0599 | 54 | 229 | -14 |
|  | 25 | 0.000008 | 0.058 | 0.972 | $2181 \pm 230^{\circ}$ |  |  |  |  |  |
|  | 37 | 0.000014 | 0.055 | 0.973 | $4230 \pm 139$ |  |  |  |  |  |
| PBA | 20 | 0.000020 | 0.065 | 0.938 | $7826 \pm 223$ | 1.69 | 0.0400 | -29 | -30 | -20 |
|  | 25 | 0.000025 | 0.039 | 0.966 | $1695 \pm 88^{\text {b }}$ |  |  |  |  |  |
|  | 37 | 0.000082 | 0.052 | 0.834 | $3586 \pm 346$ |  |  |  |  |  |
| PHA | 20 | 0.000034 | 0.068 | 0.971 | $2193 \pm 93$ | 3.26 | 0.0810 | 33 | 179 | -20 |
|  | 25 | 0.000025 | 0.075 | 0.989 | $3260 \pm 131^{\circ}$ |  |  |  |  |  |
|  | 37 | 0.000010 | 0.749 | 0.906 | $4945 \pm 1666$ |  |  |  |  |  |

PB: PIP + $\beta C D ;$ PH: PIP + HP $\beta C D ;$ PBC: PIP + $\beta C D+C A ; P H C: P I P+H P \beta C D+C A ; P B A: P I P+\beta C D+A A ; P H A: P I P+H P \beta C D+A A ;$ $S_{0}$ : Solubility of PIP in absence of CD; $\mathrm{r}^{2}$ : Regression coefficient of phase solubility graph; $K_{\mathrm{s}}\left(\mathrm{M}^{-1}\right)$ : Association constant of complex; $K_{\mathrm{TS}} / \mathrm{K}_{\mathrm{BS}}$ : Ratio of Ks for ternary and binary system; CE: Complexation efficiency; $\Delta \mathrm{H}$ : Enthalpy; $\Delta \mathrm{S}$ : Entropy; $\Delta \mathrm{G}$ : Gibbs free energy. ${ }^{a}$ Indicates mean $\pm$ S.D. ( $\mathrm{n}=3$ ), ${ }^{\mathrm{b}}$ Significant difference compared to $K s$ of $\mathrm{PB}(P<0.001)$, ${ }^{\text {c }}$ Significant difference compared to $K s$ of PH ( ${ }^{\mathrm{P}}<0.001$ ). PIP: Piperine, $\beta$ CD: b-cyclodextrin


Figure 2: Van't Hoff plot (In K vs. 1/T) for piperine: b-cyclodextrin ( $\beta \mathrm{CD}$ )/HP $\beta$ CD inclusion complexes
values, it could be concluded that the removal of an enthalpy rich water molecule from the CD cavity is a very important ruling force for PIP and CD complexation. ${ }^{[10]}$ Standard Gibbs free energy change $(\Delta \mathrm{G})$ given by the change in enthalpy and entropy depicted spontaneity of the complexation process.

## Molecular modeling studies

As depicted in Figure 3 and Table 2, the insertion of piperidine ring through the narrow rim of $\beta C D$ was the most stable model corresponding to the least complexation energy, optimum Van der Waals and electrostatic interactions with intra CD hydrogen bonding in presence and absence of ternary components. Therefore, it could be concluded that $\beta C D$ was able to accommodate the piperidine ring from narrow rim inside the $\beta C D$ cavity in the presence and absence of both ternary components, suggesting their involvement in non-bonded interactions with PIP and $\beta$ CD. In general, PIP metabolizes rapidly by demethylenation of methylenedioxy group, glucuronidation, and sulfation reaction, ${ }^{[24]}$ Therefore, accommodation of piperidine ring inside the CD cavity may prevent its metabolism occurred by glucuronidation resulting in long-term therapeutic action of the drug.

## FTIR analysis

The possible interaction between PIP and CDs was studied by ATR-IR spectroscopy. The IR patterns of all systems are shown in Figure 4. The principle absorption peaks of PIP [Figure 4A] were observed at $3100 \mathrm{~cm}^{-1}$ (C-H stretching aromatic), $1635 \mathrm{~cm}^{-1}$ ( $\mathrm{C}=\mathrm{C}$ symmetric and asymmetric


Figure 3: Optimized geometric models of PB, PBC, and PBA with electrostatic (Elctr), Van der Waals (Vdw) and hydrogen bonding $(-\mathrm{OH})$ interactions in presence and absence of ternary components

| Table 2: |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: |
| Systems $\boldsymbol{\Delta E}$ Vdw Elctr O-H bonds <br> 1. PB -59343 160 32 8 <br> 2. PBC -135866 192 -44 9 <br> 3. PBA -409539 167 32 10 |  |  |  |  |

$\Delta \mathrm{E}$ : Complexation energy (Kcal/mol); Vdw: Van der Waals force of interactions; Elctr: Electrostatic force of interactions;
O-H bonds: Number of hydrogen bonds
stretching, diene), $1550 \mathrm{~cm}^{-1}(\mathrm{C}=\mathrm{C}$ aromatic stretch, benzene), $1600 \mathrm{~cm}^{-1}$ (-CO-N stretching), for ethylenedioxy
group $=2925 \mathrm{~cm}^{-1}\left(\mathrm{C}-\mathrm{H}\right.$ stretching aliphatic), $1450 \mathrm{~cm}^{-1}\left(\mathrm{CH}_{2}\right.$ bending), $930 \mathrm{~cm}^{-1}$ (C-O stretching), and $805 \mathrm{~cm}^{-1}$ (out-ofplane C -H bending 1,2,4-trisubstituted phenyl).

The absorption spectra of $\beta C D$ [Figure 4B] had shown prominent peaks at $3225 \mathrm{~cm}^{-1}(\mathrm{O}-\mathrm{H}$, stretching, OH$), 2933 \mathrm{~cm}^{-1}$ (C-H stretching aliphatic), $1653 \mathrm{~cm}^{-1}$ (H-O-H, bending), and $1017 \mathrm{~cm}^{-1}$ (C-O-C, stretching). Figure 4C displayed absorption bands of HP $\beta$ CD at $3741 \mathrm{~cm}^{-1}$ (O-H stretch), $2922 \mathrm{~cm}^{-1}$ (C-H stretch), and $1024.23 \mathrm{~cm}^{-1}$ (C-O-C stretch). Figure 4D indicated absorption spectra of CA at $3492 \mathrm{~cm}^{-1}$ (O-H, stretching, OH) and $1750 \mathrm{~cm}^{-1}$ ( $\mathrm{C}=\mathrm{O}$ aliphatic, carboxylic acid) and AA
[Figure 4E] has shown prominent peaks at $3210-3786 \mathrm{~cm}^{-1}$ ( $\mathrm{O}-\mathrm{H}$ stretch), $1750 \mathrm{~cm}^{-1}$ ( $\mathrm{C}=\mathrm{O}$ stretch, 5 member lactone ring), $1650 \mathrm{~cm}^{-1}(\mathrm{C}=\mathrm{C}$ stretch $), 1270 \mathrm{~cm}^{-1}$ (C-O-C stretch), and $1019 \mathrm{~cm}^{-1}$ (C-O-C bend).

In case of PB (Figure 4F) complexes, the principle absorption peaks of pure PIP at $2900 \mathrm{~cm}^{-1}, 1200 \mathrm{~cm}^{-1}$, and $1050 \mathrm{~cm}^{-1}$ were shifted to $2889 \mathrm{~cm}^{-1}, 1153 \mathrm{~cm}^{-1}$, and $1026 \mathrm{~cm}^{-1}$, respectively, for PBC [Figure 4G] complexes at $2912 \mathrm{~cm}^{-1}, 1200 \mathrm{~cm}^{-1}$, and $1022 \mathrm{~cm}^{-1}$, respectively, and for PBA [Figure 4H] complexes at $2890 \mathrm{~cm}^{-1}, 1150 \mathrm{~cm}^{-1}$, and $1077 \mathrm{~cm}^{-1}$, respectively.

Furthermore, for the case of PH [Figure 4I] complexes, the peaks of pure PIP at $2900 \mathrm{~cm}^{-1}, 1200 \mathrm{~cm}^{-1}$, and $1050 \mathrm{~cm}^{-1}$ were shifted to 2917, 1633, and 1021, respectively, for PHC [Figure 4J] system at 2911, 1720, and 1077, respectively, for PHA [Figure 4K] complexes at 2921, 1674, and 1021, respectively. In lyophilized complexes, all peaks were found to be smoothened and did not show any new peaks indicating non-covalent interaction in inclusion complexes. ${ }^{[25,26]}$

## ${ }^{1} \mathrm{H}$ NMR and 2D NMR analysis

The chemical structure of PIP, AA, and CDs is demonstrated in Figure 5a.The interactions between PIP and CDs have


Figure 4: Fourier transformation-infrared spectroscopy spectrum of Piperine (A), $\beta$-cyclodextrin ( $\beta C D$ ) ( $\beta C D$ ) (B), HP $\beta$ CD (C), CA (D), AA (E), PB (F), PH (G), PBC (H), PHC (I), PBA (J), PHA (K)
been carried out by ${ }^{1} \mathrm{H}$ NMR spectroscopy [Figure 5b]. Pure PIP exhibited signals at 5.82 ppm (H-1), 6.97 ppm (H-2), $6.89 \mathrm{ppm}(\mathrm{H}-3)$, and $6.77 \mathrm{ppm}(\mathrm{H}-4)$ of methylene dioxyphenyl moiety. The aliphatic groups of PIP were found at $6.74 \mathrm{ppm}(\mathrm{H}-5), 6.72(\mathrm{H}-6), 7.27(\mathrm{H}-7)$, and $6.42(\mathrm{H}-8)$. The signals of $(\mathrm{H}-9),(\mathrm{H}-10),(\mathrm{H}-11),(\mathrm{H}-12),(\mathrm{H}-13),(\mathrm{H}-14)$, and (H-15) were observed at $3.58 \mathrm{ppm}, 1.66 \mathrm{ppm}, 1.58 \mathrm{ppm}$, $1.68 \mathrm{ppm}, 3.58 \mathrm{ppm}, 1.68 \mathrm{ppm}$, and 3.58 ppm , respectively. The signals for $\mathrm{H}-3^{\prime}$ ' and $\mathrm{H}-5^{\prime}$ ' of CD were observed at 3.67 ppm and 3.79 ppm , respectively. Pure AA exhibited signals at $4.80 \mathrm{ppm}(\mathrm{H}-1$ ") of furanone, $4.22 \mathrm{ppm}(\mathrm{H}-2 ")$ of methine, and 3.59 ppm (H-3") of methylene.

The changes that occurred in NMR signals clearly indicated host-guest interactions. The protons of PIP located inside the CD cavity in complex, experienced upfield shift changes due to the shielding effect by the CD cavity. The chemical shift change $(\Delta \delta)$ values for PIP protons are given in Table 3. In the case of PB complexes, all protons of PIP experienced upfield shift, whereas $\mathrm{H}-2, \mathrm{H}-4, \mathrm{H}-10$, and $\mathrm{H}-12$ are diffused indicating the formation of inclusion complexation. All protons of PBA complexes exhibited an upfield shift except H-8 and H-9 protons explicating the insertion of piperidine or methylene dioxyphenyl moiety inside the CD cavity. The binary and ternary systems of PIP with HP $\beta$ CD displayed high upfield shift of piperidine and an aliphatic moiety of PIP, suggesting its penetration inside the HP $\beta$ CD cavity.

In general, the penetration of the drug molecule (guest) takes place from the wider rim side of the cavity. The $\mathrm{H}-3^{\prime}$ and $\mathrm{H}-5^{\prime}$

| Table 3: Proton nuclear magnetic resonance spectroscopy ( 600 MHz ) chemical shift change $(\Delta \delta)$ values for various protons of piperine in the presence of $\beta$-cyclodextrin or HP $\beta$ CD at $25^{\circ} \mathrm{C}$ |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Protons | PB | PBA | PH | PHA |
| H-1 | -0.08 | -0.06 | 0.07 |  |
| H-2 | $\ldots$ | -0.01 | 0.02 |  |
| H-3 | -0.09 | -0.03 | -0.02 | -0.09 |
| H-4 | $\ldots$ | $\ldots$ | -0.01 | $\ldots$ |
| H-5 | -0.04 | $\ldots$ | -0.01 | 0.01 |
| H-6 | -0.18 | 0.02 | 0.04 | 0.03 |
| H-7 | -0.19 | -0.04 | -0.02 | -0.06 |
| H-8 | -0.27 | 0.07 | 0.08 | 0.02 |
| H-9 | -0.01 | 0.01 | -0.04 |  |
| H-10 | $\ldots$ | -0.04 | -0.05 | -0.11 |
| H-11 | -0.23 | -0.06 | -0.04 | -0.03 |
| H-12 | ... | -0.06 | -0.07 | -0.13 |
| H-13 | -0.01 | -0.01 | -0.04 | $\ldots$ |
| H-14 | -0.322 | -0.06 | -0.06 | -0.12 |
| H-15 | -0.006 | 0.01 | -0.03 | -0.004 |

[^0]

Figure 5: (a) Structure of piperine (PIP), AA, $\beta$-Cyclodextrin and Hydroxypropyl- $\beta$-Cyclodextrin (b) ${ }^{1} \mathrm{H}$ NMR spectra of (A) PIP, (B) b-cyclodextrin ( $\beta C D$ ) (C) HP $\beta C D$, (D) AA, (E) PB, (F) PBA, (G) PH, (G) PHA (C) ${ }^{1} \mathrm{H}-{ }^{-1} \mathrm{H}$ NOESY spectra of PB, PBA, PH, and PHA
protons situated at an inner region of CD cavity, therefore, change in chemical shifts of $\mathrm{H}-3^{\prime}$ and $\mathrm{H}-5^{\prime}$ protons of CDs in the presence of guest molecule suggests that inclusion in cavity has taken place. ${ }^{[27]}$ In all the systems of PIP with CDs, the signals for $\mathrm{H}-5^{\prime}$ and $\mathrm{H}-3^{\prime}$ protons exhibited high field shifts compared to pure CDs which indicate inclusion in cavity has taken place as these protons are located inside the CD cavity.

A deep entrance of guest into the CD cavity results in the chemical shift of both the protons $\mathrm{H}-3^{\prime}$ and $\mathrm{H}-5^{\prime}$ of CD , whereas the shift in only H-3' protons occurs when the cavity entrance is shallow. ${ }^{[28]}$ The chemical shift change $(\Delta \delta)$ values for CD protons are explicated in Table 4. The ratio of $\Delta \delta H-5^{\prime} /$ $\Delta \delta \mathrm{H}-3^{\prime}$ gives the idea regarding depth of penetration of guest inside the CD cavity. The positive values of $\Delta \delta \mathrm{H}-5^{\prime} / \Delta \delta \mathrm{H}-3^{\prime}$ protons might be attributed to the deep insertion of the PIP from the wider rim of $C D$.
${ }^{1} \mathrm{H}-{ }^{1} \mathrm{H}$ NOESY analysis is a very interesting tool used to estimate the relative positions of CD protons and guest protons for analyzing the structure of inclusion complex. ${ }^{[2]}$ To predict and confirm the inclusion structure of PIP inside the CD cavity, ${ }^{1} \mathrm{H}-{ }^{1} \mathrm{H}$ NOESY analysis has been carried out in solution state [Figure 5c].

| Systems | H-3' | H-5' | $\Delta \delta$ H-5'/ $\Delta \delta$ H-3' |
| :---: | :---: | :---: | :---: |
| PB | -0.064 | -0.04 | 0.625 |
| PBA | -0.117 | -0.018 | 0.153 |
| PH | -0.11 | -0.023 | 0.209 |
| PHA | -0.117 | -0.018 | 0.153 |

Negative values indicate upfield shift

The PB system has exhibited cross peaks between piperidine ring (H-9, H-13), methylene dioxyphenyl moiety (H-1) of PIP, and H-3' and H-5' protons of $\beta$ CD suggesting deep penetration of PIP inside the $\beta$ CD cavity through the wider annular rim in such a way that both piperidine and methylene dioxyphenyl moiety facing toward the wider rim of $\beta$ CD. In earlier studies, Ezawa et al. have reported that methylene dioxyphenyl moiety of PIP penetrates in $\beta$ CD cavity through the wider rim. ${ }^{[5]}$ In case of PBA complexes, the cross peaks were observed between $\mathrm{H}-10, \mathrm{H}-11, \mathrm{H}-12$ (piperidine ring) of PIP and H-3' and H-5' protons of $\beta \mathrm{CD}$ indicating penetration of piperidine ring in $\beta$ CD cavity through wider annular rim with deep penetration.

The binary and ternary complexes of PIP with HP $\beta$ CD displayed a similar pattern of cross peaks. The PH and PHA complexes have shown cross peaks between $\mathrm{H}-10, \mathrm{H}-11$, $\mathrm{H}-12$ (piperidine ring) of PIP and $\mathrm{H}-3$ ' and $\mathrm{H}-5$ ' protons of HP $\beta$ CD which indicates deep penetration of piperidine ring in $H P \beta C D$ cavity through wider annular rim. From observed results, it could be clear that $\beta$ CD has capacity to accommodate both piperidine and methylene dioxyphenyl moiety facing toward wider rim of $\beta C D$, while $H P \beta C D$ obliges just piperidine ring in its cavity through its wider annular rim. In prior investigations researchers previously reported that the incorporation of piperidine ring, aliphatic group or methylene dioxyphenyl moiety of PIP was relying upon the nature of CD which is very supported here. ${ }^{[2]}$

No alteration of signals of AA protons has been observed, indicating ternary component just gave synergistic effect
to inclusion by exerting various non-bonded interactions such as hydrophobic interactions and Van der Waals force of interactions. Therefore, from observed findings, it could be concluded that both the CDs were able to accommodate the piperidine ring inside the CDs cavity in such a way that piperidine ring and aliphatic moiety facing toward the wider annular rim of CDs with deep penetration in the presence and absence of AA as a ternary component.

## SEM analysis

SEM [Figure 6] was used to study the morphological characteristics of drugs and complexes. Pure PIP was characterized by the presence of sharp, smooth, and crystalline particles. The particles of PB and PBA complexes exhibited altered shape and have shown uneven, smooth


Figure 6: Scanning electron microscopic of piperine, PB, PH, PBA, and PHA
lumps type morphology. The amorphous nature of $\mathrm{HP} \beta \mathrm{CD}$ has contributed to change in morphology of PH and PHA complexes showing irregular pieces of amorphous aggregates revealing significant modifications in the original morphology of pure PIP. The microphotographs of all systems suggested that the alteration in morphology of particles in lyophilized complexes confirmed the presence of a new solid phase which might have played an important role in the modifications of physicochemical properties of drugs to some extent. ${ }^{[29]}$

## Partition coefficient (Log P)

The partition coefficient $(\log P)$ values of PIP, PB, PH, PBC, PHC, PBA, and PHA complexes were determined as $4.03 \pm 2$, $2.82 \pm 1,0.90 \pm 0.3,2.69 \pm 1,0.31 \pm 0.2,2.42 \pm 1.1$, and $0.02 \pm 0.01$, respectively $(P<0.001)$. It was evident from observed data that all inclusion complexes have shown lower $\log P$ values than that of pure PIP, whereas HP $\beta$ CD binary and ternary complexes indicated a tremendous difference in $\log P$ values, indicating an improvement in aqueous solubility due to amorphous natured HP $\beta$ CD and addition of hydroxy acids as ternary components. ${ }^{[15,29]}$

## In-vitro dissolution studies in 0.1 N HCl at pH 1.1

The in-vitro drug release profile in 0.1 N HCl at pH 1.1 is illustrated in Figure 7 where the percentage of drug dissolved is plotted against time. The \% drug release at $10 \mathrm{~min}\left(\mathrm{DR}_{10}\right)$ for PIP, PB, PH, PBC, PHC, PBA, and PHA was found to be $8 \pm 2,17 \pm 2,13 \pm 1,18 \pm 2,12 \pm 2,22 \pm 2$, and $100 \pm 5$, respectively, and dissolution efficiencies of the same at $10 \mathrm{~min}\left(\mathrm{DE}_{10}\right)$ were calculated as $3 \pm 0.8,8 \pm 1,7 \pm 1,9 \pm 2,6 \pm 0.3,9 \pm 1$, and $38 \pm 4$, respectively. A significant difference between the dissolution efficiencies of PIP and complexes $(P<0.001)$ was observed. From the findings, it was noted that the drug release rate was rapid and complete from HPBCD binary (PH) as well as ternary systems (PHC, PHA) indicating HP $\beta$ CD performed well as complexing and solubilizing agent. ${ }^{[21,29]}$ The PHA complexes have shown $100 \%$ drug release at 10 min time interval suggesting the addition of AA as a ternary component


Figure 7: Dissolution profile of piperine and all inclusion complexes in 0.1 N HCl at pH 1.1
resulted in improved stability (phase solubility studies) and complexing property.

## CONCLUSION

The present research work demonstrated successful formation of inclusion complexes of PIP with $\beta C D$ and $\mathrm{HP} \beta \mathrm{CD}$ in presence and absence of hydroxy acids by lyophilization techniques. The applications of hydroxy acids have significantly contributed to the improvement in physicochemical characteristics of PIP through inclusion complexation with CDs. In addition to that MM studies depicted the insertion of the piperidine ring of PIP inside the $\beta C D$ cavity in the presence and/or absence of AA, which was in full agreement of NMR results. In all formulations, HP $\beta$ CD ternary inclusion complexes formed with AA gave significant advantages through its excellent physicochemical properties over all other formulations. All in all, the selection of AA as a ternary component could be the best choice in terms of improvement in solubility and dissolution of PIP by inclusion phenomenon with $\beta$ CD and HP $\beta$ CD.

## ACKNOWLEDGMENT

The authors are thankful to the Principal, Government College of Pharmacy, Karad and Shivaji University, Kolhapur, Maharashtra, India, for providing analytical facilities to perform characterization studies. This work was financially supported by Dr. Babasaheb Ambedkar Research and Training Institute, Pune, Maharashtra, India (Ref. No./BARTI/Research Cell/SPNRF/2015-16/2567/dated October 01, 2015).

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[^1]
[^0]:    Negative values indicate upfield shift

[^1]:    Source of Support: Nil. Conflicts of Interest: None declared.

